

Appix Securities

Automate Back Office Operations and Take Control of Settlement Day

If you've had enough of the fire drills on settlement day and would like to automate more of your back office operations, it's time to take a good look at Appix Securities. This remarkable fixed-income solution automates many of the routine tasks and transactions, now manually conducted by your back office. We are ready to show you what's possible with a technology that's designed by people who know your industry inside and out—people we call subject matter experts.

Result? A more-streamlined operation...with some welcome peace of mind on settlement day.



Appix Securities is a full-featured fixed income settlement solution for securities back offices. It incorporates all functions necessary to automate real-time continuous settlement, exception queues, fails tracking, intra-day balances, and end-of-day depository reconciliation with the DTCC, Federal Reserve Bank, custodian banks, and major clearing institutions. Its powerful “expectations versus actuals” paradigm provides immediate notification of unmatched deliveries while settling matched trades in real-time.

- ▶ Improved back office operations through automation of key tasks, access to real-time inventories and balances, and comprehensive reporting
- ▶ Multiple edit checks and customizable business rules allow for your most efficient business work flow and SOX compliance
- ▶ Core wire processing system enables secure lights-out, hands-off processing
 - ▶ Automated real-time matching of incoming wires
 - ▶ Automated real-time editing of outgoing wire requests
 - ▶ Automated end-of-day reconciliation with Clearing Bank/Depository
 - ▶ Automated population of reversal wire with information from original wire (e.g. ABA, account, IMAD)
 - ▶ Unmatched wires remain on the exception queue until researched and matched
 - ▶ Real-time CUSIP balance monitoring

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Features

System compatibility with major clearing banks and depositories

Single screen overview of all settlement activities

Automated real-time matching of incoming securities wires and editing of outgoing wire requests

Multiple delivery options

Automated end-of-day reconciliation with Clearing Bank or depository

Multi-threaded, parallel processing that accommodates any transaction volume

Automated population of reversal wire with original wire information

Customizable work flow, business rules, and edit checks

Inventory segregation along business lines – allows simultaneous independent settlement of like CUSIPs without commingling of inventory

Exception processing screen that provides closest match for quick resolution

Full audit trails for all transactions

Lights-out processing where appropriate

Benefits

- ▶ **More efficient operations:** Appix Securities eases the flow of incoming and outgoing securities to allow for greater transactional security and increased processing volume, while freeing your staff to spend their time more productively.
- ▶ **Simultaneous settlement tracking, liquidity management, and cash trading:** When paired with Appix Cash, our cash management and settlement system, Appix Securities provides a powerful transaction control solution.
- ▶ **Smooth, non-disruptive transition:** Our systems are flexible enough to adapt to your way of doing business, from initial installation through your firm's future growth and development.
- ▶ **Enterprise class reliability and scalability:** The system delivers as promised and grows commensurate with your needs.